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PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|-------------------------------|---------------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | 103.1045.01 |
| | First Named Inventor | Douglas P. DOUCETTE |
| | COMPLETE IF KNOWN | |
| | Application Number | 09 / 642065 |
| | Filing Date | August 18, 2000 |
| | Group Art Unit | Not Yet Assigned |
| | Examiner Name | Not Yet Assigned |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Improved Space Allocation in a Write Anywhere File System

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) August 18, 2000 as United States Application Number or PCT International
Application Number 09/642065 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | |
|-----------------------|--------------------------|--|
| | | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
| | | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label

OR ☐ Correspondence address below

| | | | |
|---------|-----------|-----|--|
| Name | 22883 | | |
| Address | | | |
| Address | | | |
| City | State | ZIP | |
| Country | Telephone | Fax | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|--|--------------------|---|---------|
| Name of Sole or First Inventor: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Douglas P. | | Doucette | |
| Inventor's Signature | Date | | 9/14/00 |
| Residence: City | Freeland | State | WA |
| | | Country | USA |
| Post Office Address | 1864 Scenic Avenue | | |
| Post Office Address | | | |
| City | Freeland | State | WA |
| | | ZIP | 98249 |
| | | Country | USA |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (3-97)
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+

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| | | | | | | | |
|--|---------------------|-------|----|---|------------|-------------|-----|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Blake | | | | Lewis | | | |
| Inventor's Signature | Blake Lewis | | | Date | 9/19/00 | | |
| Residence: City | Palo Alto | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 2316 Greer Road | | | | | | |
| Post Office Address | | | | | | | |
| City | Palo Alto | State | CA | ZIP | 94303 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| John K. | | | | Edwards | | | |
| Inventor's Signature | | | | Date | | | |
| Residence: City | Sunnyvale | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 1173 Crandano Court | | | | | | |
| Post Office Address | | | | | | | |
| City | Sunnyvale | State | CA | ZIP | 94087-2076 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| | | | | | | | |
| Inventor's Signature | | | | Date | | | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | | | | | | | |
| City | | State | | ZIP | | Country | |

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| | | | | | | | |
|--|-----------------------|-------|----|---|------------|-------------|-----|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Blake | | | | Lewis | | | |
| Inventor's Signature | | | | Date | | | |
| Residence: City | Palo Alto | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 2316 Greer Road | | | | | | |
| Post Office Address | | | | | | | |
| City | Palo Alto | State | CA | ZIP | 94303 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| John K. | | | | Edwards | | | |
| Inventor's Signature | <i>John K Edwards</i> | | | Date | | 9/19/2008 | |
| Residence: City | Sunnyvale | State | CA | Country | USA | Citizenship | US |
| Post Office Address | 1173 Crandano Court | | | | | | |
| Post Office Address | | | | | | | |
| City | Sunnyvale | State | CA | ZIP | 94087-2076 | Country | USA |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| | | | | | | | |
| Inventor's Signature | | | | Date | | | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
| Post Office Address | | | | | | | |
| City | | State | | ZIP | | Country | |

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**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT,
NOT ACCOMPANYING
APPLICATION**

| | |
|------------------------|---------------------|
| Application Number | 09/642,065 |
| Filing Date | August 18, 2000 |
| First Named Inventor | Douglas P. DOUCETTE |
| Group Art Unit | Not Assigned |
| Examiner Name | Not Assigned |
| Attorney Docket Number | 103.1045.01 |

I hereby appoint:

☒ Practitioners at Customer Number

22883

22883

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant.

☒ Assignee of record of the entire interest
Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

Name **Francine Bellet, Senior Corporate Attorney**

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 02, 2000

PTAS

SWERNOFSKY LAW GROUP
JOHN C. MERCHANT
P.O. BOX 390013
MOUNTAIN VIEW, CA 94039-0013

700002204A***700002204A***

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/27/2000

REEL/FRAME: 010994/0243

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DOUCETTE, DOUGLAS P.

DOC DATE: 09/14/2000

ASSIGNOR:

LEWIS, BLAKE

DOC DATE: 09/19/2000

ASSIGNOR:

EDWARDS, JOHN K.

DOC DATE: 09/19/2000

ASSIGNEE:

NETWORK APPLIANCE, INC.
495 EAST JAVA DRIVE
A CORPORATION OF CALIFORNIA
SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 09642065

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

| | | |
|------|---|-----------|
| INIT | ✓ | SL3 |
| | ✓ | DATA OK |
| | ✓ | NEED CORR |

CTS

010994/0243 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

09/27/2000

700002204
700002204FORM PTO-1619A
Expires 08/2000
OMB 0831-0027U.S. Department of Commerce
Patents and Trademark Office
PATENTRECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID# _____
- ☐ Correction of PTO Error
Reel # _____ Frame # _____
- ☐ Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other _____
- U.S. Government
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Doucette, Douglas P.

Execution Date
Month Day Year
09 14 2000

Name (line 2) _____

Second Party

Name (line 1) Lewis, Blake

Execution Date
Month Day Year
09 19 2000

Name (line 2) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Network Appliance, Inc.

Name (line 2) a Corporation of California

Address (line 1) 495 East Java Drive

Address (line 2) _____

Address (line 3) Sunnyvale

CA

94089

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name John C. Merchant

Address (line 1) Swernofsky Law Group

Address (line 2) P.O. Box 390013

Address (line 3) Mountain View, CA 94039-0013

Address (line 4) United States

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

FORM PTO-1619B
Expires 09/30/99
OMB 0651-0027

Page 2

U.S. Department of Commerce
Patents and Trademark Office
PATENT**Correspondent Name and Address**

Area Code and Telephone Number 650.947.0700

Name John C. Merchant

Reg. No. 45,896

Address (line 1) Swernofsky Law Group

Address (line 2) P.O.Box 390013

Address (line 3) Mountain View, CA 94039-00

Address (line 4) United States

PagesEnter the total number of pages of the attached conveyance document
including any attachments.

3

Application Number(s) or Patent Number(s)☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09842065

Patent Number(s)If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account)

Enclosed ☐Deposit Account ☒

Deposit Account Number:

60-0365

Authorization to charge additional fees:

Yes

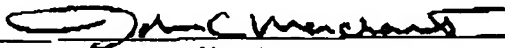
☒

No

☐**Statement and Signature***To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.*

John C. Merchant

Name of Person Signing



Signature

September 26, 2000

Date

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|--|-----------------------------|---|
| Conveying Party(ies) <input type="checkbox"/> Mark if additional names of conveying parties attached | | Execution Date Month Day Year |
| Enter additional Conveying Parties | | 09 19 2000 |
| Name (line 1) | Edwards, John K. | |
| Name (line 2) | | Execution Date Month Day Year |
| Name (line 1) | | |
| Name (line 2) | | Execution Date Month Day Year |
| Name (line 1) | | |
| Name (line 2) | | |
| Receiving Party(ies) <input type="checkbox"/> Mark if additional names of receiving parties attached | | |
| Enter additional Receiving Party(ies) | | |
| Name (line 1) | | <input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.) |
| Name (line 2) | | |
| Address (line 1) | | |
| Address (line 2) | | |
| Address (line 3) | City State/Country Zip Code | |
| Name (line 1) | | <input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.) |
| Name (line 2) | | |
| Address (line 1) | | |
| Address (line 2) | | |
| Address (line 3) | City State/Country Zip Code | |
| Application Number(s) or Patent Number(s) <input type="checkbox"/> Mark if additional numbers attached | | |
| Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property). | | |
| Patent Application Number(s) | | Patent Number(s) |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

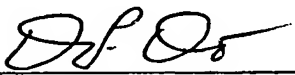
ASSIGNMENT

WHEREAS, we, Douglas P. Doucette, a citizen of United States, residing at 1864 Scenic Avenue, Freeland, Washington 98249, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, and John K. Edwards, a citizen of United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, have invented a **"Improved Space Allocation in a Write Anywhere File System"** for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642065**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Douglas P. Doucette, Blake Lewis, and John K. Edwards, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Freeland, Washington, this 14th day of September, 2000.



Douglas P. Doucette

Blake Lewis

John K. Edwards

ASSIGNMENT

WHEREAS, we, Douglas P. Doucette, a citizen of United States, residing at 1864 Scenic Avenue, Freeland, Washington 98249, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, and John K. Edwards, a citizen of United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, have invented a **"Improved Space Allocation in a Write Anywhere File System"** for which we have executed application papers for a U.S. patent thereon, which was filed on **August 18, 2000, Serial No. 09/642065**; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Douglas P. Doucette, Blake Lewis, and John K. Edwards, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, California, this 19th day of September, 2000.

Douglas P. Doucette

Blake Lewis
Blake Lewis

John K. Edwards

ASSIGNMENT

WHEREAS, we, Douglas P. Doucette, a citizen of United States, residing at 1864 Scenic Avenue, Freeland, Washington 98249, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, and John K. Edwards, a citizen of United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, have invented a "Improved Space Allocation in a Write Anywhere File System" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642065; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Douglas P. Doucette, Blake Lewis, and John K. Edwards, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale California, this 19th day of September, 2000.

Douglas P. Doucette

Blake Lewis

John K. Edwards
John K. Edwards

22883

PTO/SB/96(6-98)
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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Douglas P. DOUCETTEApplication No./Patent No.: 09/642,065 Filed/Issue Date: August 18, 2000Entitled: Improved Space Allocation in a Write Anywhere File SystemNetwork Appliance, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE]: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8/31/00
 Date

Francine B. Bellet
 Signature

Francine B. Bellet

Typed or printed name

Senior Corporate Attorney

Title

COPY

22883

103.1035.01


ASSIGNMENT

WHEREAS, we, Douglas P. Doucette, a citizen of United States, residing at 1864 Scenic Avenue, Freeland, Washington 98249, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, and John K. Edwards, a citizen of United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, have invented a "Improved Space Allocation in a Write Anywhere File System" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642065; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Douglas P. Doucette, Blake Lewis, and John K. Edwards, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Freeland, Washington, this 14th day of September, 2000.



Douglas P. Doucette

Blake Lewis

John K. Edwards

COPY

22883

103.1035.01

ASSIGNMENT

WHEREAS, we, Douglas P. Doucette, a citizen of United States, residing at 1864 Scenic Avenue, Freeland, Washington 98249, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, and John K. Edwards, a citizen of United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, have invented a "Improved Space Allocation in a Write Anywhere File System" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642065; and

WHEREAS, Network Appliance, Inc. a California corporation, having a place of business at 495 East Java Drive, Sunnyvale, CA 94089 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Douglas P. Doucette, Blake Lewis, and John K. Edwards, do sell, assign, transfer and set over unto the said Network Appliance, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Network Appliance, Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Sunnyvale, California, this 19th day of September, 2000.

Douglas P. Doucette

Blake Lewis
Blake Lewis

John K. Edwards

COPY

22883

103.1035.01

ASSIGNMENT

WHEREAS, we, Douglas P. Doucette, a citizen of United States, residing at 1864 Scenic Avenue, Freeland, Washington 98249, Blake Lewis, a citizen of United States, residing at 2316 Greer Road, Palo Alto, California 94303, and John K. Edwards, a citizen of United States, residing at 1173 Crandano Court, Sunnyvale, California 94087-2076, have invented a "Improved Space Allocation in a Write Anywhere File System" for which we have executed application papers for a U.S. patent thereon, which was filed on August 18, 2000, Serial No. 09/642065; and

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WITNESS OUR HAND at Sunnyvale California, this 19th day of September, 2000.

Douglas P. Doucette

Blake Lewis

John K. Edwards
John K. Edwards